

## KYC DOCUMENT IN VERNACULAR LANGUAGES

Client Registration Documents (Rights & Obligations, Risk Disclosure Document, Dos and Don'ts) in Vernacular Language:

<a href="#">Assamese</a>	<a href="#">Bengali</a>	<a href="#">Gujarati</a>	<a href="#">Hindi</a>	<a href="#">Kannada</a>
<a href="#">Kashmiri</a>	<a href="#">Konkani</a>	<a href="#">Malayalam</a>	<a href="#">Marathi</a>	<a href="#">Oriya</a>
<a href="#">Punjabi</a>	<a href="#">Sindhi</a>	<a href="#">Tamil</a>	<a href="#">Telegu</a>	<a href="#">Urdu</a>

**Note:** "This document is a translated version of the client registration documents in English and is being provided in vernacular language to facilitate better understanding by the investors. In case of any ambiguity, the contents of the English version would prevail."

## MANDATORY UPDATION OF CERTAIN ATTRIBUTES OF KYC OF CLIENTS

This has reference to NSE circular ref. no. NSE/ISC/47869, BSE notice ref. no. 20210401-53 dated April 01, 2021, and NDSL circular ref. no. NSDL/POLICY/2021/0036 dated April 07, 2021, on the subject mandatory updation of certain attributes of KYC of clients. Through this circular it has been made mandatory for all clients to update the following in addition to their name and full & proper address: – i) PAN: The requirement of mandatory submission of PAN by clients for transactions in the securities market shall continue to apply, with permitted exemptions. In case PAN is not seeded with AADHAAR before the date specified by the Government, it will not be considered as a valid PAN. ii) Valid email ids and Mobile Number: Separate valid mobile number and email address to be updated for all Beneficial Owner [BO] account holders and for their trading client code. However, after submitting a written declaration, only family members can update same mobile number and email address. Family for this purpose has been defined as self, spouse, dependent parents and dependent children. Also please note that Regulator shall verify that mobile number and email in the account is accessible by sending a link through SMS and email directly to the account holder at the mobile number and the email ID captured in the account. In case an electronic confirmation is received from the said mobile number and the said email ID, such mobile number and/or the email ID will be considered as verified iii) Income range: Every BO/ Client should update their income range per annum with their Stock Brokers and Depository Participants. You are requested to comply with all the above-mentioned requirements immediately to avoid your account being marked either as Inactive and or closed. Please ignore if you have already provided the above details.

## MUTUAL FUND COMMERCIAL DISCLOSURES

In accordance with the extant regulations (SEBI circular: SEBI/IMD/CIR No. 4/ 168230/09 dated 30th June, 2009), following are the details of the comparative commission earned by o3

Securities Private Limited ("o3 Securities") from various fund-houses, whose products are being distributed:

Disclosure of Commission / Brokerage received by o3 Securities Private Limited for distribution of various Mutual Fund products	
Asset Class & Typical Brokerage Structure	1st Year Trail Commission (%)
Balanced Fund	Min = 0.75% Max = 1.00%
Debt Funds	Min = 0.05% Max = 1.10%
Equity Funds	Min = 0.4% Max = 1.15%
Hybrid Funds	Min = 0.30% Max = 1.00%
International Funds	Min = 0.40% Max = 1.10%
Liquid Funds	Min = 0.03% Max = 0.10%

Note: This information collation is on a best effort basis and commission details are updated based on brokerage communication received from AMCs. These details will be periodically updated on this website and customers are advised to check the same before making any investment. Sanctum will not be responsible for intimating customers of any change in this Schedule of Commission other than by way of posting the information on this website. Investments in mutual funds are subject to market risk and customers should read the scheme related documents along with the terms and conditions relating to the Services offered by o3 Securities Private Limited before investing. Pursuant to SEBI Circular dated 22nd August, 2011 o3 Securities Private Limited has opted as "Opt Out" Distributor. Hence no transaction charges shall be deducted by AMCs from Clients Investment amount for the transactions done under o3 Securities Broker/ARN Code. o3 Securities could also get reimbursement for mutual fund product promotion and marketing related activities. Reimbursement received for marketing activities could not be attributed to any specific mutual fund scheme as they are a part of overall relationship with AMCs. The above-mentioned rates are subject to change without any prior consent and at a discretion and agreement between o3 Securities and the respective AMCs. You are requested to contact your Relationship Manager; in case you require any clarification / information about the commission charged on your investments through o3 Securities.